



Anillo Industries Inc.

Subcontractor Quality System Assessment Checklist

“Company Confidential”

SUPPLIER NAME :
AUDIT DATE :

AUDITOR:

QUALITY SYSTEM: () ISO 9001 () ISO 9003 () MIL-I-45208A
 () ISO 9002 () MIL-Q-9858A () FAR

PARA NO.	QUALITY ELEMENT & COMMAND MEDIA REF.	ISO 9001	ISO 9002	ISO 9003	MIL-Q	MIL-I	FAR	QUALITY REQUIREMENTS AND ASSESSMENT GUIDELINES	EXISTS	ADEQUATE	NONCOMPLIANT	NOTES AND COMMENTS
4.1	MANAGEMENT RESPONSIBILITY											
4.1.1	QUALITY POLICY	X	X	X				>SUPPLIER’S EXECUTIVE MANAGEMENT HAS DEFINED AND DOCUMENTED ITS POLICY FOR QUALITY, OBJECTIVES AND COMMITMENT TO QUALITY. >THE QUALITY POLICY IS RELEVANT TO ORGANIZATION GOALS, EXPECTATIONS AND CUSTOMER NEEDS. >THE POLICY IS UNDERSTOOD, IMPLEMENTED AND MAINTAINED AT ALL LEVELS OF THE ORGANIZATION.				
4.1.2	ORGANIZATION											
	4.1.2.1 RESPONSIBILITY AND AUTHORITY	X	X	X	X		X	>RESPONSIBILITY, AUTHORITY AND THE INTERRELATION OF PERSONNEL, WHO MANAGE, PERFORM AND VERIFY WORK AFFECTING QUALITY IS DEFINED AND DOCUMENTED. >PERSONNEL AFFECTING QUALITY HAVE THE FREEDOM AND AUTHORITY TO: <ul style="list-style-type: none"> ✓ INITIATE ACTION TO PREVENT THE OCCURRENCE OF ANY NONCONFORMITIES RELATING TO PRODUCT, PROCESS AND QUALITY SYSTEM; ✓ IDENTIFY AND RECORD ANY PRODUCT, PROCESS AND QUALITY SYSTEM PROBLEMS; ✓ INITIATE, RECOMMEND OR PROVIDE SOLUTIONS THROUGH DESIGNATED CHANNELS; ✓ VERIFY THE IMPLEMENTATION OF SOLUTIONS; ✓ CONTROL FUTURE PROCESSING, DELIVERY OR INSTALLATION OF NONCONFORMING PRODUCT PENDING CORRECTION OF THE NOTED DEFICIENCY/ UNSATISFACTORY CONDITION. 				
	4.1.2.2 RESOURCES	X	X	X	X		X	>SUPPLIER HAS IDENTIFIED RESOURCE REQUIREMENTS, PROVIDES ADEQUATE RESOURCES, AND ASSIGNED TRAINED PERSONNEL FOR MANAGEMENT, PERFORMANCE OF WORK AND VERIFICATION ACTIVITIES INCLUDING INTERNAL AUDITS.				
	4.1.2.3 MANAGEMENT	X	X	X	X		X	>ORGANIZATION CHART IDENTIFIES MANAGEMENT REPRESENTATIVE WITH NAME AND PHONE NUMBER. >EXECUTIVE MANAGEMENT REPRESENTATIVE HAS BEEN APPOINTED AND GIVEN THE AUTHORITY FOR: <ul style="list-style-type: none"> ✓ ENSURING THAT QUALITY SYSTEM REQUIREMENTS ARE ESTABLISHED, IMPLEMENTED AND MAINTAINED IN ACCORDENCE WITH THIS STANDARD; ✓ REPORTING ON THE PERFORMANCE OF THE QUALITY SYSTEM TO THE SUPPLIER’S MANAGEMENT; ✓ MANAGEMENT REVIEW USED FOR QUALITY SYSTEM IMPROVEMENT. 				
4.1.3	MANAGEMENT REVIEW	X	X	X	X			>QUALITY SYSTEM IS REVIEWED AND DOCUMENTED AT DEFINED INTERVALS BY EXECUTIVE MANAGEMENT FOR CONTINUING SUSTAINABILITY AND EFFECTIVE COMPLIANCE TO STANDARD. (COORDINATE WITH 4.16 AND 4.1.1. FOR QUALITY POLICY)				



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4.3.3	MIL-HNDBK-9000 (COST OF QUALITY)				X			>THE SUPPLIER MAINTAINS AND USES QUALITY COST DATA AS A MANAGEMENT ELEMENT OF THE QUALITY PROGRAM. >THIS DATA IS IDENTIFIED AND MADE AVAILABLE FOR "ON-SITE" REVIEW BY THE PURCHASER REPRESENTATIVE.				
4.2	QUALITY SYSTEM											
4.2.1	GENERAL	X X	X X	X X	X X	X X	X	>SUPPLIER HAS ESTABLISHED AND MAINTAINS A DOCUMENTED QUALITY SYSTEM. >THE OUTLINE STRUCTURE OF THE DOCUMENTATION USED IN COVERING THE QUALITY SYSTEM REQUIREMENTS OF THIS STANDARD IS DEFINED IN A QUALITY MANUAL. >QUALITY MANUAL INCLUDES OR REFERENCES THE DOCUMENTED QUALITY SYSTEM PROCEDURES.				
4.2.2	QUALITY SYSTEM PROCEDURES	X	X	X	X	X	X	>THE SUPPLIER HAS: <ul style="list-style-type: none"> ✓ PREPARED DOCUMENTED PROCEDURES CONSISTENT WITH THE REQUIREMENTS OF THIS STANDARD AND THE SUPPLIER'S STATED QUALITY POLICY; ✓ EFFECTIVELY IMPLEMENTED THE DOCUMENTED PROCEDURES AND THE QUALITY SYSTEM. >THE DEGREE OF DOCUMENTATION FOR THE PROCEDURES THAT FORM PART OF THE QUALITY SYSTEM IS COMMENSURATE WITH METHODS USED, SKILLS NEEDED AND THE REQUIRED TRAINING OF PERSONNEL INVOLVED IN CARRYING OUT THE ACTIVITY.				
4.2.3	QUALITY PLANNING	X X	X X	X X	X X	X X		>SUPPLIER HAS DEFINED AND DOCUMENTED HOW THE REQUIREMENTS FOR QUALITY WILL BE MET. >QUALITY PLANNING IS: <ul style="list-style-type: none"> ✓ CONSISTENT WITH ALL OTHER REQUIREMENTS OF A SUPPLIER'S QUALITY SYSTEM; ✓ DOCUMENTED IN A FORMAL SUITABLE TO SUPPLIER'S METHOD OF OPERATION. >SUPPLIER IS GIVING TIMELY CONSIDERATION IN MEETING SPECIFIED PRODUCT, PROJECT AND CONTRACT REQUIREMENTS IN: <ul style="list-style-type: none"> ✓ PREPARATION OF QUALITY PLANS (4.2.3A); ✓ IDENTIFICATION AND ACQUISITION OF ANY CONTROLS, PROCESSES, FIXTURES OR EQUIPMENT (INCLUDING INSPECTION AND TEST EQUIPMENT) NEEDED TO ACHIEVE THE REQUIRED QUALITY; ✓ ENSURING THE COMPATIBILITY OF THE DESIGN, THE PRODUCTION PROCESSES, INSTALLATION, SERVICING, INSPECTION AND TEST PROCEDURES AND THE APPLICABLE DOCUMENTATION; ✓ UPDATING OF QUALITY CONTROL, INSPECTION AND TESTING TECHNIQUES, INCLUDING THE DEVELOPMENT OF NEW INSTRUMENTATION; ✓ IDENTIFICATION OF ANY MEASUREMENT REQUIREMENT INVOLVING CAPABILITY THAT EXCEEDS THE KNOWN STATE OF THE ART IN SUFFICIENT TIME FOR DEVELOPMENT OF NEEDED CAPABILITY; ✓ IDENTIFICATION OF NECESSARY VERIFICATION AT APPROPRIATE STAGES IN THE PRODUCT REALIZATION; ✓ CLARIFICATION OF THE STANDARDS OF ACCEPTABILITY FOR ALL FEATURES AND REQUIREMENTS; ✓ IDENTIFICATION AND PREPARATION OF QUALITY RECORDS. (COORDINATE WITH 4.16). 				



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4.2	CQAR 18, APDX A QUALITY SYSTEM						X	>SELLER QA PLAN CONTAINS A LIST OR CHART SHOWING LOCATION AND TYPES OF INSPECTION FACILITIES AT PLACE OF MANUFACTURE.				
4.3	CONTRACT REVIEW											
4.3.1	GENERAL	X	X	X	X	X		>SUPPLIER HAS ESTABLISHED AND MAINTAINS DOCUMENTED PROCEDURES FOR CONTRACT REVIEW AND FOR THE COORDINATION OF THESE ACTIVITIES.				
4.3.2	REVIEW	X	X	X	X	X		>SUPPLIER'S SYSTEM REQUIRES REVIEW BEFORE SUBMISSION OF A TENDER OR ACCEPTANCE OF EACH CONTRACT AND ORDER TO ENSURE THAT: <ul style="list-style-type: none"> ✓ REQUIREMENTS ARE ADEQUATLY DEFINED AND DOCUMENTED. IN CASE OF A VERBAL ORDER, REQUIREMENTS ARE AGREED TO BEFORE THEIR ACCEPTANCE; ✓ CONTRACT OR ACCEPTED ORDER REQUIREMENTS DIFFERING FROM THOSE ON THEIR TENDER ARE REOLVED; ✓ SUPPLIER HAS THE CAPABILITY TO MEET CONTRACT OR ACCEPTED ORDER REQUIREMENTS. 				
4.3.3	AMENDMENT TO CONTRACT	X	X	X	X		X	>SUPPLIER HAS IDENTIFIED HOW AMMENDMENTS TO A CONTRACT ARE MADE AND CORRECTLY TRANSFERRED TO FUNCTIONS CONCERNED WITHIN THE SUPPLIER'S ORGANIZATION. >CONTRACT REVIEWS ARE MAINTAINED. (COORDINATE WITH 4.16).				
4.3.4	RECORDS	X	X	X				>SELLERS LOCATED IN THE USA ARE IN COMPLIANCE WITH FAR PART 21.303, NO PARTS HAVE BEEN SHIPPED DIRECTLY ON AN FAA CERTIFIED AIRCRAFT OWNER/OPERATORS PURCHASE ORDER WITHOUT PARTS MANUFACTURING APPROVAL (EXCULDING BUYER FURNISHED EQUIPMENT).				
4.3.1	CQAR 18, APDX A SPARE PARTS PROVISIONING											
4.4	DESIGN CONTROL											
4.4.1	GENERAL	X			X			>THE SUPPLIER HAS ESTABLISHED AND MAINTAINS DOCUMENTED PROCEDURES TO CONTROL AND VERIFY THE DESIGN OF THE PRODUCTS TO MEET SPECIFIED REQUIREMENTS. >THE SUPPLIER HAS PLANS FOR EACH DESIGN AND DEVELOPMENT ACTIVITY. >THE PLANS DEFINE RESPONSIBILITY AND DESCRIBE OR REFERENCE THESE ACTIVITIES. >THE DESIGN AND DEVELOPMENT ACTIVITIES ARE ASSIGNED TO QUALIFIED PERSONNEL WITH ADEQUATE RESOURCES. >THE PLANS ARE UPDATED AS THE DESIGN EVOLVES.				
4.4.2	DESIGN AND DEVELOPMENT PLANNING	X										
4.4.3	ORGANIZATION AND TECHNICAL INTERFACES	X						>ORGANIZATION AND TECHNICAL INTERFACES BETWEEN DIFFERENT FUNCTIONAL GROUP THAT INPUT TO THE DESIGN PROCESS ARE DEFINED. >THE NECESSARY INFORMATION IS DOCUMENTED, TRANSMITTED, AND REGULARLY REVIEWED.				
4.4.4	DESIGN INPUT	X			X			>THE DESIGN INPUT REQUIREMENTS RELATED TO THE PRODUCT ARE IDENTIFIED AND DOCUMENTED. (THIS INCLUDES APPLICABLE STATUTORY/REGULATORY REQUIREMENTS). >THEIR SELECTION IS REVIEWED BY THE SUPPLIER FOR ADEQUACY. >INCOMPLETE, AMBIGUOUS OR CONFLICTING REQUIREMENTS ARE RESOLVED WITH THOSE RESPONSIBLE FOR IMPOSING THESE REQUIREMENTS. >THE DESIGN INPUT TAKES INTO CONSIDERATION THE RESULTS OF ANY CONTRACT REVIEW ACTIVITIES.				



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4.4.5	DESIGN OUTPUT	X			X			>DESIGN OUTPUT IS DOCUMENTED AND EXPRESSED IN TERMS OF DESIGN INPUT REQUIREMENTS THAT CAN BE VERIFIED. >DESIGN OUTPUT: ✓ MEETS THE DESIGN INPUT REQUIREMENTS; ✓ CONTAINS OR REFERENCES ACCEPTANCE CRITERIA; ✓ IDENTIFIES THOSE CHARACTERISTICS OF THE DESIGN THAT ARE CRUCIAL TO THE SAFE AND PROPER FUNCTION OF THE PRODUCT (OPERATING, STORAGE, HANDLING, MAINTENANCE AND DISPOSAL REQUIREMENTS); ✓ INCLUDES A REVIEW OF DESIGN OUTPUT DOCUMENTS BEFORE RELEASE.				
4.4.6	DESIGN REVIEWS	X			X			>FORMAL DOCUMENTED REVIEWS OF THE DESIGN RESULTS ARE PLANNED AND CONDUCTED AT APPROPRIATE STAGES OF THE DESIGN. >ALL PERSONNEL AND FUNCTIONS THAT PARTICIPATED IN THE DESIGN STAGE BEING REVIEWED CONDUCT DESIGN REVIEWS. >RECORDS OF SUCH REVIEWS ARE MAINTAINED. (COORDINATE WITH 4.16).				
4.4.7	DESIGN VERIFICATION	X			X			>DESIGN VERIFICATION IS PERFORMED TO ENSURE THAT THE DESIGN STAGE OUTPUT MEETS DESIGN STAGE INPUT REQUIREMENTS. >THE DESIGN VERIFICATION MEASURES ARE RECORDED. (COORDINATE WITH 4.16). >DESIGN VERIFICATION MAY INCLUDE: ✓ PERFORMING ALTERNATIVE CALCULATIONS; ✓ COMPARISON OF NEW DESIGN WITH SIMILAR PROVEN DESIGNS; ✓ TEST AND DEMONSTRATIONS; ✓ REVIEW OF DESIGN STAGE DOCUMENTS BEFORE RELEASE.				
4.4.8	DESIGN VALIDATION	X			X			>DESIGN VALIDATION IS PERFORMED TO ENSURE THAT PRODUCT CONFORMS TO DEFINED USER NEEDS AND/OR REQUIREMENTS: ✓ FOLLOWS SUCCESSFUL DESIGN VERIFICATION; ✓ PERFORMED UNDER DEFINED OPERATING CONDITIONS; ✓ PERFORMED ON FINAL PRODUCT; ✓ SOMETIMES NECESSARY IN EARLIER STAGES PRIOR TO PRODUCT COMPLETION; ✓ MULTIPLE VALIDATIONS MAY BE PERFORMED IF THERE ARE DIFFERENT INTENDED USES.				
4.4.9	DESIGN CHANGES	X			X		X	>ALL DESIGN CHANGES AND MODIFICATIONS ARE: ✓ IDENTIFIED; ✓ DOCUMENTED; ✓ REVIEWED; ✓ APPROVED BY AUTHORIZED PERSONNEL BEFORE IMPLEMENTATION.				
4.4.1	CQAR 18, APDX A DESIGN CHANGES						X	>SELLER HAS OBTAINED CUSTOMER APPROVAL OF CHANGES TO SELLER'S DESIGN FOR THOSE ASPECTS OF THE DESIGN WHICH AFFECT FIT, FORM, FUNCTION, WEIGHT, SAFETY, CERTIFICATION OR QUALIFICATION.				
4.4.2	CQAR 18, APDX A CHANGES INCORPORATED						X	>SELLER INCORPORATES DESIGN CHANGES AT CUSTOMER/SELLER AGREED UPON EFFECTIVITY. >SELLER HAS DEFINED FORMS THAT AUTHORIZED DESIGN CHANGES AND EFFECTIVITY CUT-IN.				



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4.5	DOCUMENT AND DATA CONTROL											
4.5.1	GENERAL	X	X	X	X	X		>PROCEDURES FOR CONTROL OF ALL DOCUMENTS AND DATA THAT RELATE TO THE REQUIREMENTS OF THIS STANDARD ARE ESTABLISHED, DOCUMENTED AND MAINTAINED. THIS INCLUDES DOCUMENTS OF EXTERNAL ORIGIN (STANDARDS, DRAWINGS, ETC.) AND ANY FORM OR TYPE OF MEDIA (HARDCOPY OR ELECTRONIC MEDIA).				
4.5.2	DOCUMENT APPROVAL AND ISSUE	X	X	X	X	X		>THE DOCUMENTS AND DATA ARE REVIEWED AND APPROVED FOR ADEQUACY BY AUTHORIZED PERSONNEL PRIOR TO USE. >A MASTER LIST OR EQUIVALENT DOCUMENT CONTROL PROCEDURE IDENTIFYING THE CURRENT REVISION STATUS OF DOCUMENTS IS ESTABLISHED AND READILY AVAILABLE TO PRECLUDE USE OF INVALID AND/OR OBSOLETE DOCUMENTS. >CONTROLS ENSURE THAT: <ul style="list-style-type: none"> ✓ PERTINENT ISSUES OF APPROPRIATE DOCUMENTS ARE AVAILABLE AT ALL LOCATIONS WHERE OPERATIONS ARE PERFORMED; ✓ INVALID AND/OR OBSOLETE DOCUMENTS ARE CONTROLLED AT ALL POINTS OF ISSUE OR USE; ✓ UNINTENDED USE IS CONTROLLED; ✓ ANY OBSOLETE DOCUMENTS THAT MUST BE RETAINED ARE SUITABLY IDENTIFIED. 				
4.5.3	DOCUMENT CHANGES	X	X	X			X	>CHANGES TO DOCUMENTS ARE REVIEWED AND APPROVED BY THE SAME FUNCTIONS/ORGANIZATIONS THAT PERFORMED THE ORIGINAL REVIEW AND APPROVAL UNLESS SPECIFICALLY DESIGNATED OTHERWISE. >THE DESIGNATED ORGANIZATIONS HAVE ACCESS TO PERTINENT BACKGROUND INFORMATION UPON WHICH TO BASE THEIR REVIEW AND APPROVAL. >THE NATURE OF THE CHANGE IS IDENTIFIED IN THE DOCUMENT OR THE APPROPRIATE ATTACHMENTS.				
4.5.1	CQAR 18, APDX A CHANGE NOTIFICATION						X	>COMPANY INSPECTORS ARE INFORMED OF CURRENT CHANGES IN ENGINEERING DRAWINGS, SPECIFICATION AND QUALITY CONTROL PROCEDURES.				
4.6	PURCHASING											
4.6.1	GENERAL	X	X		X	X	X	>THE SUPPLIER HAS DOCUMENTED PROCEDURES TO ENSURE THAT PRODUCT FROM SUB-CONTRACTORS CONFORMS TO SPECIFIED REQUIREMENTS. (4.3.1) >DOCUMENTED PROCEDURES ARE MAINTAINED.				
4.6.2	EVALUATION OF SUB-CONTRACTORS	X	X		X	X		>SUPPLIER'S SUB-CONTRACTORS ARE SELECTED ON THE BASIS OF THEIR ABILITY TO MEET SUB-CONTRACT REQUIREMENTS, INCLUDING A QUALITY SYSTEM AND ANY SPECIFIC QUALITY ASSURANCE REQUIREMENTS. >TYPE AND EXTENT OF CONTROL OVER SUB-CONTRACTORS IS DEFINED. >QUALITY RECORDS OF ACCEPTABLE SUB-CONTRACTORS ARE ESTABLISHED AND MAINTAINED. (COORDINATE WITH 4.16).				



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4.3.4	MIL-HDBK-9000 Use of GOVERNMENT PROPERTY/MATERIAL				X	X		>WHEN MATERIAL IS FURNISHED BY THE CUSTOMER, THE SUPPLIER'S PROCEDURE INCLUDES AT LEAST THE FOLLOWING: ✓ EXAMINATION UPON RECEIPT, IF PRACTICAL, TO DETECT DAMAGE IN TRANSIT; ✓ INSPECTION FOR COMPLETENESS AND PROPER TYPE; ✓ PERIODIC INSPECTION AND PRECAUTIONS TO ASSURE ADEQUATE STORAGE CONDITIONS AND TO GUARD AGAINST DAMAGE FROM HANDLING AND DETERIORATION DURING STORAGE; ✓ FUNCTIONAL TESTING, EITHER PRIOR TO OR AFTER INSTALLATION, OR BOTH, AS REQUIRED BY CONTRACT TO DETERMINE SATISFACTORY OPERATION; ✓ IDENTIFICATION AND PROTECTION FROM IMPROPER USE OR DISPOSITION; AND ✓ VERIFICATION OF QUALITY. >IF THE MATERIAL IS DAMAGED OR MALFUNCTIONS DURING OR AFTER INSTALLATION, THE SUPPLIER DETERMINES AND RECORDS PROBABLE CAUSE AND NECESSITY FOR WITHHOLDING MATERIAL FROM USE. >SUPPLIER HAS PROCEDURES FOR THE ADEQUATE STORAGE, MAINTENANCE, AND INSPECTION OF BAILED CUSTOMER PROPERTY. >RECORDS OF ALL INSPECTION AND MAINTENANCE PERFORMED ON BAILED PROPERTY ARE MAINTAINED AND ARE AVAILABLE TO THE PURCHASER FOR REVIEW.				
4.8	PRODUCT IDENTIFICATION AND TRACEABILITY											
		X	X		X	X	X	>THE SUPPLIER HAS ESTABLISHED AND MAINTAINS DOCUMENTED PROCEDURES FOR IDENTIFYING THE PRODUCT BY SUITABLE MEANS FROM RECEIPT AND DURING ALL STAGES OF PRODUCTION, DELIVERY AND INSTALLATION. >THE SUPPLIER HAS ESTABLISHED AND MAINTAINS DOCUMENTED PROCEDURES FOR UNIQUE IDENTIFICATION OF INDIVIDUAL PRODUCT OR BATCHES WHEN REQUIRED BY CONTRACT. (COORDINATE WITH 4.16).				
		X	X	X								
4.8.1	CQAR 18, APDX A CONTROL OF RESTRICTED PARTS						X	>RESTRICTED PARTS USED IN PRODUCTION PRIOR TO QUALIFICATION ARE CONTROLLED WHICH INCLUDES: ✓ UNIQUE IDENTIFICATION; ✓ TRACKING SYSTEM OF RESTRICTED PARTS FROM TIME OF MANUFACTURE TO QUALIFICATION; ✓ SYSTEM IN PLACE WHICH UPDATES PARTS AS REQUIRED AFTER QUALIFICATION; ✓ SYSTEM IN PLACE OF NOTIFYING AFFECTED PARTIES OF QUALIFICATION STATUS.				
4.9	PROCESS CONTROL											
		X	X		X	X	X	>SUPPLIER HAS IDENTIFIED AND PLANNED FOR THE PRODUCTION, INSTALLATION AND SERVICING PROCESSES THAT DIRECTLY AFFECT QUALITY. >SUPPLIER ENSURES THAT THESE PROCESSES ARE CARRIED OUT UNDER CONTROLLED CONDITIONS.				
		X	X		X	X	X					



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4.9	PROCESS CONTROL – CONT –	X	X		X		X	>CONTROLLED CONDITIONS INCLUDE THE FOLLOWING: ✓ DOCUMENTED PROCEDURES DEFINING PRODUCTION, INSTALATION AND SERVICING WHERE ABSENCE OF PROCEDURES COULD ADVERSELY AFFECT QUALITY; ✓ USE OF SUITABLE PRODUCTION, INSTALATION AND SERVICING EQUIPMENT AND A SUTIABLE WORKING ENVIRONMENT; ✓ COMPLIANCE WITH EREFERENCE STANDARDS/CODES, QUALITY PLANS AND/OR DOCUMENTED PROCEDURES; ✓ MONITORING AND CONTROL OF SUITABLE PROCESS PARAMETERS AND PRODUCT CHARACTERISITICS; ✓ APPROVAL OF PROCESSES AND EQUIPMENT; ✓ CLEAR WORKMANSHIP CRITERIA (WRITTEN NEW STANDARDS, REPRESENTATIVE SAMPLES OR ILLUSTRATIONS); ✓ EQUIPMENT MAINTENANCE TO ENSURE CONTINUING PROCESS CAPABILITY; >PROCESSES ARE IDENTIFIED FOR OPERATOR QUALIFICATION. >CONTINUOUS PROCESS MONITORING IS UTILIZED WHERE PROCESS RESULTS CANNOT BE FULLY VERIFIED BY SUBSEQUENT INSPECTION AND WHERE PROCESSING DEFICIENCIES MAY BECOME APPARENT ONLY AFTER THE PRODUCT IS IN USE. >DOCUMENTED PROCEDURES INCLUDE QUALIFICATION OF PROCESS OPERATIONS AND ASSOCIATED EQUIPMENT AND PERSONNEL WHEN REQUIRED. (COORDINATE WITH 4.16). >RECORDS ARE MAINTAINED FOR QUALIFIED PROCESSES, EQUIPMENT AND PERSONNEL. (COORDINATE WITH 4.16).				
4.10	INSPECTION AND TESTING											
4.10.1	GENERAL	X	X		X	X		>INSPECTION AND TESTING ACTIVITIES FOR VERIFICATION OF SPECIFIED REQUIREMENTS ARE DOCUMENTED IN WRITTEN PROCEDURES. >DOCUMENTED PROCEDURES INCLUDE THE REQUIRED INSPECTION AND TESTING ACTIVITIES AND ASSOCIATED RECORDS.				
4.10.2	RECEIVING INSPECTION AND TESTING	X	X		X	X	X	>SUPPLIER ENSURES INCOMING PRODUCT IS NOT USED/PROCESSED UNTIL INSPECTED OR OTHERWISE VERIFIED AS CONFORMING TO SPECIFIED REQUIREMENTS OF THE QUALITY PLAN OR PROCEDURES. (EXCEPT AS DEFINED UNDER CONDITIONS FOR URGENT RELEASE – 4.10.2.3). >CONSIDERATION IS GIVEN TO AMOUNT OF CONTROL EXERCISED AT SUBCONTRACTOR PREMISES AND RECORDED EVIDENCE OF CONFORMANCE WHEN DETERMING THE AMOUNT AND NATURE OF RECEIVING INSPECTION. >INCOMING PRODUCT RELEASED FOR URGENT PRODUCTION PRIOR TO VERIFICATION IS POSITIVELY IDENTIFIED AND RECORDED TO PERMIT IMMEDIATE RECALL AND REPLACEMENT IN THE EVENT OF NONCONFORMANCE TO SPECIFIED REQUIREMENTS. (4.16)				
	4.10.2.1											
	4.10.2.2	X	X		X	X						
	4.10.2.3	X	X		X	X						
4.10.3	IN-PROCESS INSPECTION AND TESTING	X	X		X	X	X	>INSPECTION AND TESTING OF PRODUCT IS PERFORMED AS REQUIRED BY THE QUALITY PLAN AND/OR DOCUMENTED PROCEDURES. >PRODUCT IS HELD UNTIL REQUIRED INSPECTION /TESTS ARE COMPLETED OR NECESSARY REPORTS ARE VERIFIED EXCEPT WHEN RELEASED FOR URGENT PRODUCTION UNDER POSITIVE RECALL PROCEDURES. (4.10.2.3)				



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4.10.4	FINAL INSPECTION AND TESTING	X	X	X	X	X	X	>INSPECTION AND TESTING ARE PERFORMED TO SPECIFIED REQUIREMENTS BY THE QUALITY PLAN AND/OR DOCUMENTED PROCEDURES. >THE QUALITY PLAN ASSURES THAT NO PRODUCT IS RELEASED UNTIL ALL SPECIFIED ACTIVITIES, DATA AND DOCUMENTATION ARE AVAILABLE, AUTHORIZED AND COMPLETE.				
4.10.5	INSPECTION AND TEST RECORD	X	X	X	X	X	X	>RECORDS ARE MAINTAINED WHICH PROVIDE EVIDENCE OF INSPECTION AND TESTING. >RECORDS SHOW CLEARLY THE PRODUCT HAS PASSED OR FAILED THE INSPECTION AND/OR TEST ACCORDING TO DEFINED ACCEPTANCE CRITERIA. (COORDINATE WITH 4.16). >NONCONFORMING PRODUCT ACTIONS AND INSPECTION RELEASE AUTHORITY ARE IDENTIFIED. (COORDINATE WITH 4.13 AND 4.16).				
4.3.1	MIL-HDBK-9000 – INSPECTION AND TEST RECORDS				X	X		>INSPECTION AND TEST RECORDS INDICATE THE NATURE OF THE OBSERVATIONS, NUMBER OF OBSERVATIONS MADE AND THE NUMBER AND TYPE OF DEFICIENCIES FOUND. >DATA INCLUDED IN INSPECTION AND TEST RECORDS IS COMPLETE AND ACCURATE, AND IS USED FOR TREND ANALYSIS TO ASSESS CORRECTIVE ACTION EFFECTIVENESS.				
4.10.1	CQAR 18, APDX A – VERIFICATION ON RECEIPT						X	>METHODS USED TO VERIFY CONFORMANCE OF A SUPPLIER PRODUCT, WHICH CANNOT BE INSPECTED UPON RECEIPT AND IS NOT INSPECTED AT SOURCE, ARE DEFINED.				
4.10.2	CQAR 18, APDX A – CONFORMITY INSPECTION						X	>CONFORMITY INSPECTION OF ARTICLES IS ACCOMPLISHED AT POINT OF MANUFACTURE UNLESS OTHERWISE AUTHORIZED.				
4.11	CONTROL OF INSPECTION, MEASURING AND TEST EQUIPMENT (IM&TE)											
4.11.1	GENERAL	X	X	X	X	X		>PROCEDURES ARE DOCUMENTED AND MAINTAINED TO CONTROL, CALIBRATE AND MAINTAIN IM&TE (INCLUDING TEST SOFTWARE USED TO DEMONSTRATE CONFORMANCE OF PRODUCT TO SPECIFIC REQUIREMENTS). >IM&TE EQUIPMENT IS USED IN A MANNER WHICH ENSURE THAT MEASUREMENT UNCERTAINTY ARE KNOWN AND ARE CONSISTENT WITH REQUIRED CAPABILITY. >TEST SOFTWARE OR COMPARATIVE REFERENCES SUCH AS TEST HARDWARE USED FOR INSPECTION ACCEPTANCE IS CHECKED FOR ACCURACY PRIOR TO RELEASE AND RECHECKED AT PRESCRIBED INTERVALS. >RECHECK INTERVALS AND EXTENT OF RECHECK ARE ESTABLISHED AND RECORDS MAINTAINED TO EVIDENCE CONTROL. (COORDINATE WITH 4.16). >WHEN REQUIRED BY THE CUSTOMER, TECHNICAL DATA USED TO ESTABLISH CONFORMANCE OF MEASUREMENT DEVICES TO SPECIFIED REQUIREMENTS IS MADE AVAILABLE TO THE CUSTOMER FOR VERIFICATION OF FUNCTIONAL ADEQUACY.				
4.3.2	MIL-HDBK-9000 – CALIBRATION				X	X		>THE CALIBRATION OF MEASURING AND TESTING EQUIPMENT ADHERES TO THE REQUIREMENTS OF MIL-STD-45662A.				
4.11.2	CONTROL PROCEDURE	X	X	X	X	X		>SUPPLIER DETERMINES MEASUREMENTS TO BE MADE, ACCURACY REQUIRED, AND THE APPROPRIATE IM&TE. >ALL IM&TE THAT CAN AFFECT QUALITY IS IDENTIFIED, CALIBRATED AND ADJUSTED INITIALLY AND AT PRESCRIBED INTERVALS USING APPROPRIATE STANDARDS. WHEN NO STANDARDS EXISTS, BASIS USED FOR CALIBRATION IS DOCUMENTED.				



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4.11.2	CONTROL PROCEDURE – CONT –	X	X	X	X	X		>THE PROCESS FOR CALIBRATION INCLUDES EQUIPMENT TYPE, IDENTIFICATION, LOCATION, FREQUENCY, METHOD, ACCEPTANCE CRITERIA AND ACTIONS TO BE TAKEN WHEN FOUND UNSATISFACTORY. >IM&TE ARE IDENTIFIED WITH A SUTIABLE INDICATOR OR INDENTIFICATION RECORD TO SHOW CALIBRATION STATUS. >CALIBRATION RECORDS ARE MAINTAINED. (COORDINATE WITH 4.16). >VALIDITY OF PREVIOUS INSPECTION AND TEST RESULTS ARE ASSESSED AND DOCUMENTED WHEN EQUIPMENT IS FOUND TO BE OUT OF CALIBRATION. >ENVIRONMENTAL CONDITIONS ARE SUTIABLE FOR CALIBRATION, INSPECTIONS, MEASUREMENTS AND TESTS BEING CONDUCTED. >SUPPLIER ASSURES THAT HANDLING, PRESERVATION AND STORAGE OF IM&TE IS SUCH THAT ACCURACY AND FITNESS FOR USE IS MAINTAINEC. >TEST HARDWARE, SOFTWARE AND TEST FACILITIES ARE SAFEGUARDED FROM INVALIDATING ADJUSTMENTS.				
4.12	INSPECTION AND TEST STATUS	X	X	X	X	X		>INSPECTION AND TEST STATUS IS IDENTIFIED AND INDICATES THE CONFORMANCE OR NONCONFORMANCE OF PRODUCT. >IDENTIFICATION OR INSPECTION AND TEST STATUS IS MAINTAINED AS DEFINED IN THE QUALITY PLAN AND/OR DOCUMENTED PROCEDURES THROUGHOUT PRODUCTION. INSTALLATION AND SERVICING TO ENSURE ONLY PRODUCT, WHICH HAS PASSED REQUIRED INSPECTION AND TESTS, IS RELEASED, USED OR INSTALLED. >PRODUCT, WHICH DOES NOT CONFORM TO SPECIFIED REQUIREMENTS, IS RELEASED UNDER AUTHORIZED DOCUMENTATION. (4.13.2)				
4.13	CONTROL OF NON-CONFORMING PRODUCTS											
4.13.1	GENERAL	X	X	X	X	X	X	>SUPPLIER HAS ESTABLISHED AND MAINTAINS DOCUMENTED PROCEDURES TO ENSURE THAT PRODUCT WHICH DOES NOT CONFORM THE SPECIFIED REQUIREMENTS IS PREVENTED FROM UNINTENDED USE OR INSTALLATION. >SUPPLIER HAS PROVIDED CONTROL ACTIVITY THAT INCLUDES: ✓ IDENTIFICATION OF NON-CONFORMING PRODUCT; ✓ DOCUMENTATION OF NON-CONFORMING PRODUCT; ✓ EVALUATION OF NON-CONFORMING PRODUCT; ✓ SEGREGATION OF NON-CONFORMING PRODUCT; ✓ DISPOSITION OF NON-CONFORMING PRODUCT; ✓ A SYSTEM FOR NOTIFICATION OF NON-CONFORMING PRODUCT TO THE FUNCTIONS CONCERNED.				
4.13.2	NONCONFORMING PRODUCT REVIEW AND DISPOSITION	X	X	X	X	X	X	>SUPPLIER HAS DEFINED RESPONSIBILITY FOR REVIEW AND DISPOSITION OF NON-CONFORMING PRODUCT. >DOCUMENTED PROCEDURES EXIST FOR REVIEW OF NON-CONFORMING PRODUCT AND PERMIT DIFFERENT DISPOSITIONS ON THE NONCONFORMING PRODUCT E.G. ✓ REWORK TO MEET THE SPECIFIED REQUIREMENTS; ✓ ACCEPTANCE WITH OR WITHOUT REPAIR BY CONCESSION; ✓ RE-GRADE FOR ALTERNATIVE APPLICATIONS; ✓ REJECTION OR SCRAP.				



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4.13.2	NONCONFORMING PRODUCT REVIEW AND DISPOSITION – CONT –	X	X		X	X	X	>WHEN REQUIRED BY THE CONTRACT, SUPPLIER NOTIFIES CUSTOMER OR THEIR REPRESENTATIVE OF THE PROPOSED USE OR REPAIR OF NON-CONFORMING PRODUCT TO SPECIFIED REQUIREMENTS. (4.13.2B) >SUPPLIER DESCRIBES AND RECORDS THE ACCEPTANCE OF NON-CONFORMING OR REPAIR TO DENOTE THE CONDITION. (COORDINATE WITH 4.16) >DOCUMENTED PROCEDURES AND/OR QUALITY PLAN EXIST FOR RE-INSPECTION OF REWORKED OR REPAIRED PRODUCTS.				
4.13.2	CQAR 18 APDX A – MRB AUTHORITY						X	>SUPPLIER’S CONDUCTING MRB REPAIR AND USE-AS-IS DISPOSITIONS FOR CUSTOMER DESIGNED PRODUCTS HAS BEEN FORMALLY DELEGATED BY THE CUSTOMER MRB AUTHORITY. >SUPPLIER HAS NOT DELEGATED MRB AUTHORITY TO SUB-TIER SUPPLIERS ON CUSTOMER DESIGNED PRODUCTS WITHOUT CUSTOMER APPROVAL. >SUPPLIER SUBMITS CHANGES TO MRB PERSONNEL AND PROCEDURES WHEN MRB HAS BEEN DELEGATED FOR CUSTOMER DESIGNED PRODUCTS.				
4.13.3	CQAR 18 APDX A – MRB ORGANIZATION						X	>SUPPLIER’S MRB ORGANIZATION CONSISTS OF MEMBERS FROM ENGINEERING AND QUALITY DISCIPLINES.				
4.13.4	CQAR 18 APDX A – NONCONFORMANCE DOCUMENTATION						X	>NONCONFORMANCE DOCUMENTS CONTAIN “SHOULD BE” AND “IS” CONDITIONS, PROVIDE SUFFICIENT DETAIL OF THE PROBLEM, DISPOSITION ACTION, AREAS OF SIGNATURES OR AUTHORIZING PERSONNEL AND AREA TO NOTE COMPLIANCE WITH DISPOSITION.				
4.13.5	CQAR 18 APDX A – MRB DISPOSITION						X	>NONCONFORMANCE’S, WHICH CANNOT BE HANDLED BY THE SELLER’S MRB, ARE TO BE SUBMITTED TO THE CUSTOMER’S MRB FOR COORDINATION.				
4.13.6	CQAR 18 APDX A – NOTIFICATION OF SUSPECT PRODUCT						X	>SELLER HAS A SYSTEM IN PLACE TO NOTIFY THE CUSTOMER OF SUSPECT PRODUCT SHIPPED TO THE CUSTOMER WITHIN (24) HOURS OF DISCOVERING OR SUSPECTING THE PROBLEM.				
4.14	CORRECTIVE AND PREVENTATIVE ACTION											
	GENERAL											
4.14.1		X	X		X	X	X	>DOCUMENTED PROCEDURES FOR IMPLEMENTING CORRECTIVE AND PREVENTATIVE ACTION HAVE BEEN ESTABLISHED AND ARE MAINTAINED. >CORRECTIVE OR PREVENTATIVE ACTION TAKEN IS COMMENSURATE TO THE MAGNITUDE OF THE PROBLEMS AND RISKS ENCOUNTERED OF ACTUAL OR POTENTIAL NON-CONFORMANCES. >SUPPLIER IMPLEMENTS AND RECORDS ANY CHANGES IN THE DOCUMENTED PROCEDURES RESULTING FROM CORRECTIVE OR PREVENTATIVE ACTION.				
	CORRECTIVE ACTION	X	X		X	X	X	>PROCEDURES FOR CORRECTIVE ACTION INCLUDE: ✓ EFFECTIVE HANDLING OF CUSTOMER COMPLIANT AND PRODUCT NONCONFORMITIES; ✓ INVESTIGATING CAUSE OF NONCONFORMITY RELATING TO PRODUCT, PROCESS AND QUALITY SYSTEMS AND RECORDING RESULTS OF INVESTIGATION (COORDINATE WITH 4.16); ✓ DETERMINING APPROPRIATE CORRECTIVE ACTION REQUIRED TO ELIMINATE THE CAUSE OF NONCONFORMITIES; ✓ APPLYING CONTROLS TO ENSURE CORRECTIVE ACTION WAS TAKEN AND IS EFFECTIVE.				
4.14.2		X	X		X	X	X					



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4.14.3	PREVENTATIVE ACTION	X	X	X	X	X	X	>PROCEDURES FOR PREVENTATIVE ACTION INCLUDE: ✓ USE OF APPROPRIATE SOURCES OF INFORMATION WHICH AFFECT PRODUCT QUALITY, CONCESSION, AUDIT RESULTS, QUALITY RECORDS, SERVICE REPORTS AND CUSTOMER COMPLAINTS TO DETECT, ANALYZE AND ELIMINATE POTENTIAL CAUSE OF NONCONFORMITIES; ✓ DETERMINING STEPS NEEDED TO ADDRESS PROBLEMS REQUIRING PREVENTATIVE ACTION; ✓ INITIATE PREVENTATIVE ACTION AND APPLY CONTROL TO ENSURE EFFECTIVENESS; ✓ ENSURE RELEVANT INFORMATION REGARDING PREVENTATIVE ACTION TAKEN IS SUBMITTED FOR MANAGEMENT REVIEW.				
4.14.1	CQAR 18 APDX A – REPETITIVE NONCONFORMANCE'S						X	>SELLER IMPLMENTS CORRECTIVE ACTION FOR REPETITIVE NONCONFORMANCES DISPOSITIONED AS "ACCEPT-AS-IS".				
4.14.2	CQAR 18 APDX A – ENGINEERING DESIGN EVALUATION						X	>SELLER HAS PROCEDURE IN PLACE, WHICH REQUIRE ENGINEERING DESIGN REVIEW OF PRODUCT THAT CONTINUALLY FAILS TO MEET DRAWING REQUIREMENTS.				
4.15	HANDLING, STORAGE, PACKAGING, PRESERVATION AND DELIVERY											
4.15.1	GENERAL	X	X	X	X			>SUPPLIER HAS ESTABLISHED AND MAINTAINS DOCUMENTED PROCEDURES FOR HANDLING, STORAGE, PACKAGING, PRESERVATION AND DELIVERY OF PRODUCT.				
4.15.2	HANDLING	X	X	X	X		X	>SUPPLIER HAS PROVIDED METHODS AND MEANS OF HANDLING PRODUCT THAT PREVENT DAMAGE OR DETERIORATION.				
4.15.3	STORAGE	X	X	X	X			>SUPPLIER USES DESIGNATED STORAGE AREAS OR STOK ROOMS TO PREVENT DAMAGE OR DETERIORATION OF PRODUCT PENDING USE OR DELIVERY. >SUPPLIER HAS ESTABLISHED APPROPRIATE METHODS FOR SUTHORIZING RECEIPT AND THE DISPATCH TO AND FROM SUCH AREAS. >SUPPLIER HAS ESTABLISHED PROCEDURES TO VERIFY, AT APPROPRIATE INTERVALS, THE CONDITION OF THE PRODUCT IN STOCK IN ORDER TO DETECT DETERIORATION.				
4.15.4	PACKAGING	X	X	X	X		X	>SUPPLIER CONTROLS PACKAGING, PACKING AND MARKING PROCESSES OF PRODUCT (INCLUDING MATERIALS USED).				
4.15.5	PRESERVATION	X	X	X	X			>SUPPLIER HAS ESTABLISHED APPROPRIATE METHODS FOR PRESERVATION AND SEGREGATION OF PRODUCT.				
4.15.6	DELIVERY	X	X	X	X		X	>SUPPLIER ENSURES THE NECESSARY PROTECTION TO MAINTAIN THE QUALITY OF THE PRODUCT AFTER FINAL INSPECTION AND TEST. >WHEN CONTRACTUALLY SPECIFIED, SUPPLIER EXTENDS SUCH PROTECTION TO INCLUDE DELIVERY TO THE DESTINATION.				



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4.15.1	CQAR 18 APDX A – SHIPMENT FROM DISTRIBUTOR						X	>PRODUCT SUPPLIED FROM WAREHOUSES AND DISTRIBUTORS INCLUDES WITH THE SHIPPING DOCUMENTATION IDENTIFICATION OF MANUFACTURER OF THE PRODUCT.				
4.15.2	CQAR 18 APDX A – SHIPPING DOCUMENT NOTES						X	>SELLER INCLUDES NOTE ON THEIR SHIPPING DOCUMENTS FOR CUSTOMER DIRECTED DROP SHIPMENTS THAT THE PART AS PRODUCED UNDER THE CUSTOMERS FAA APPROVED PRODUCTION CERTIFICATE.				
4.15.3	CQAR 18 APDX A – CERTIFICATE TEST REPORTS						X	>WHEN DIRECTED BY PROCURRING CUSTOMER COMPONENT SHIPMENTS OF RAW MATERIAL INCLUDE CERTIFIED TEST REPORTS.				
4.16	CONTROL OF QUALITY RECORDS											
		X	X	X	X	X		>THE SUPPLIER HAS ESTABLISHED AND MAINTAINA DOCUMENTED PROCEDURES TO IDENTIFY, COLLECT, INDEX, FILE, ACCESS, STORE, MAINTIAN AND DISPOSITION QUALITY RECORDS.				
		X	X		X	X		>RECORDS ALSO CONTAIN THE SUB-CONTRACTOR’S QUALITY RECORDS.				
		X	X	X	X	X		>QUALITY RECORDS ARE LEDIGLE AND STORED IN SUCH A WAY THAT THEY ARE READILY RETRIEVABLE.				
		X	X		X	X		>QUALITY RECORDS ARE STORED AND RETAINED IN A SUTIABLE ENVIRONMENT TO MINIMIZE DETERIORATION OR DAMAGE AND TO PREVENT LOSS.				
		X	X	X	X	X	X	>THE RETENTION TIMES OF QUALITY RECORDS ARE ESTABLISHED AND RECORDED.				
		X	X	X	X	X		>QUALITY RECORDS, WHERE AGREED CONTRACTUALLY, ARE MADE AVAILABLE FOR EVELAUTION BY THE CUSTOMER OR THEIR REPRESENTATIVE FOR AN AGREED PERIOD OF TIME.				
4.16.1	CQAR 18 APDX A – ENGLISH LANGUAGE						X	>WHERE SELLER’S WORK OPERATIONS ARE NOT DOCUMENTED IN THE ENGLISH LANGUAGE, TRANSLATIONS OF SPECIFIED DOCUMENTS ARE AVAILABLE IN ENGLISH FOR CUSTOMER REVIEW.				
4.17	INTERNAL QUALITY AUDITS											
		X	X	X				>THE SUPPLIER HAS ESTABLISHED AND MAINTAINS DOCUMENTED PROCEDURES FOR PLANNING AND IMPLEMENTATIN INTERNAL QUALITY AUDITS.				
		X	X	X				>THE QUALITY AUDITS ARE SCHEDULED ON THE BASIS OD THE STATUS AND IMPORTANCE OF THE ACTIVITY AND CARRIED OUT BY PERSONNEL INDEPENDENT OF THOSE HAVING DIRECT RESPONSIBIOLITY FOR THE ACTIVITY BEING AUDITED.				
		X	X	X				>FOLLOW-UP ACTIVITIES DOCUMENT THE IMPLEMENTATION AND EFFECTIVENESS OF THE CORRECTIVE ACTION TAKEN.				
		X	X	X				>THE RESULTS OF THE INTERNAL AUDITS ARE: <ul style="list-style-type: none"> ✓ RECORDED (COORDINATE WITH 4.16); ✓ BROUGHT TO THE ATTENTION OF THE PERSONNEL HAVING RESPONSIBILITY IN THE AREA AUDITED; ✓ BROUGHT TO THE ATTENTION OF THE MANAGEMENT REPRESENTATIVE; ✓ TO DETERMINE EFFECTIVENESS OF THE QUALITY SYSTEM. 				
		X	X	X				>TIMELY CORRECTIVE ACTIONS ARE TAKEN BY RESPONSIBLE MANAGEMENT ON THE DEFICIENCIES FOUND DURING THE AUDIT.				



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4.18	TRAINING	X	X					>PROCEDURES ESTABLISHED AND MAINTAINED IDENTIFYING AND PROVIDING TRAINING NEEDS OF PERSONNEL PERFORMING ACTIVITIES AFFECTING QUALITY. >PERSONNEL PERFORMING ASSIGNED TASKS ARE QUALIFIED ON THE BASIS OF APPROPRIATE EDUCATION, TRAINING AND/OR EXPERIENCE. >TRAINING RECORDS ARE MAINTAINED.				
4.19	SERVICING	X	X					>WHEN SPECIFIED BY CONTRACT, SUPPLIER ESTABLISHES AND MAINTAINS PROCEDURES FOR PERFORMING SERVICES. >WHEN SERVICES ARE SPECIFIED BY CONTRACT, PROCEDURES ARE ESTABLISHED FOR VERIFYING AND REPORTING THAT SERVICING MEETS SPECIFIED REQUIREMENTS.				
4.20	STATISTICAL TECHNIQUES											
4.20.1	IDENTIFICATION OF NEED	X	X	X	X	X	X	>THE NEED FOR STATISTICAL TECHNIQUES FOR ESTABLISHING, CONTROLLING AND VERIFYING PROCESS CAPABILITY AND PRODUCT CHARACTERISTICS HAS BEEN IDENTIFIED.				
4.20.2	PROCEDURES	X	X				X	>PROCEDURES HAVE BEEN DOCUMENTED AND MAINTAINED FOR VERIFYING PROCESS CAPABILITY AND PRODUCT CHARACTERISTICS.				
4.20.1	CQAR 18 APDX A – SPC CHARTS						X	>SELLER’S WHO IMPLEMENT STATISTICAL PROCESS CONTROL HAVE DEFINED PROCEDURES WHICH INCLUDE: <ul style="list-style-type: none"> ✓ TRAINING OF AFFECTED PERSONNEL; ✓ SAMPLING INSPECTION PLAN FOR SPECIFIED CHARACTERISTICS AT RECEIVING AND MANUFACTURING; ✓ PRE-CONTROL METHOD ESTABLISHED FOR ACCEPTANCE OF SPECIFIED PRODUCT CHARACTERISTICS; ✓ SPC METHOD ESTABLISHED FOR ACCEPTANCE OF SPECIFIC PRODUCT CHARACTERISTICS. ✓ SPC CONTROL LIMITS AND SUBGROUP SELECTION ARE BEING USED; ✓ REGULAR REVIEW OF SPC CHARTS TO DETERMINE CHANGES/SHIFTS IN PROCESS; ✓ CORRECTIVE ACTION IS IMPLEMENTED WHEN SPC PROCESS IS DETERMINED TO BE OUT OF CONTROL; ✓ ADDITIONAL INSPECTIONS ARE CONDUCTED ON PRODUCT WHERE OUT OF CONTROL CONDITIONS ARE IDENTIFIED OR CORRECTIVE ACTION IS BEING TAKEN. 				



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4.20.2	CQAR 18 APDX A – SAMPLING INSPECTION						X	<p>>FOR SAMPLING INSPECTION IMPLEMENTED BY THE SELLER THE FOLLOWING IS ACCOMPLISHED:</p> <ul style="list-style-type: none"> ✓ METHODS FOR SELECTING SAMPLES WHICH ADEQUATLY REPRESENT THE LOT ARE EMPLOYED; ✓ METHODS FOR ADJUSTING THE SAMPLING PLAN BASED ON ACCEPTANCE AND QUALITY HISTORY, AND TIGHTENING TO 100% INSPECTION WHEN NONCONFORMANCES AFFECTING SAFETY ARE DISCOVERED; ✓ ASSURANCE THAT THE METHODS OF STATISTICAL INSPECTION CONFORM TO SAMOPLING SPECIFICATIONS OR SELLER’S SAMPLING REQUIREMENTS. ✓ ASSURANCE THAT INSPECTION RECORDS INDICATE LOT SIZE, SAMPLE SIZE AND LOT IDENTITY; ✓ ASSURANCE THAT SAMPLING PLANS DO NOT ALLOW THE ACCEPTANCE OF “KNOWN DEFECTIVES” IN THE LOT, OR ACCEPTABLE QUALITY LEVELS (AQL’S) WITH KNOWN DEFECTIVES, WHICH WOULD AFFECT SAFETY. 				